



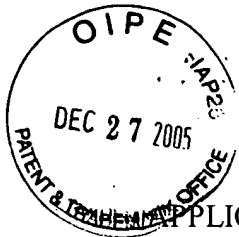
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|--|-----------------------|------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence during pendency of filed application)</i> | Application Number    | 10/635,755             |             |
|  | Filing Date           | August 5, 2003         |             |
|  | First Named Inventor  | Vincent A. Larsen      |             |
|  | Group Art Unit Number | 2131                   |             |
|  | Examiner Name         | NOT YET KNOWN          |             |
| Total Number of Pages in This Submission   | 4                     | Attorney Docket Number | 24089-09280 |

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|--------------------------------|------------------------------------|--------|----------|
| Signature:                     |                                    |        |          |
| Attorney/Reg. No.:             | Brian M. Hoffman; Reg. No.: 39,713 | Dated: | 12/20/05 |

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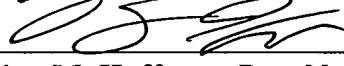


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Vincent A. Larsen  
APPLICATION NO.: 10/635,755  
FILING DATE: August 5, 2003  
TITLE: Financial Transaction Server with Process-Based Security  
EXAMINER: NOT YET KNOWN  
GROUP ART UNIT: 2131  
ATTY. DKT. NO.: 24089-09280

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**Brian M. Hoffman; Reg. No.: 39,713**

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**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

**PATENT**

☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
VINCENT A. LARSEN

Dated: \_\_\_\_\_

12/20/05

By: \_\_\_\_\_



**Brian M. Hoffman**; Reg. No. 39,713

Fenwick & West LLP

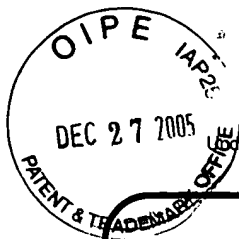
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|--|---|----|---|--------------------------|-------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    |   | Application No.          | 10/635,755        |
|  |   |    |   | Filing Date              | August 5, 2003    |
|  |   |    |   | First Named Inventor     | Vincent A. Larsen |
|  |   |    |   | Art Unit                 | 2131              |
|  |   |    |   | Examiner Name            | NOT YET KNOWN     |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | 24089-09280       |

| U.S. PATENT DOCUMENTS |                       |  |                    |  |
|-----------------------|-----------------------|--|--------------------|--|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document No.<br>Number – Kind Code <sup>2</sup> (if known) | Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document |
|                       | A1                    | US- 6,088,451  | 07-11-2000         | He et al.  |

| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |                |
|--------------------------|-----------------------|---|--------------------------------|--|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> – Number <sup>4</sup><br>Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>6</sup> |
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| OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS |                       |  |  |  |                |
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| Examiner Initials*                                 | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |  |  | T <sup>6</sup> |
|  | C1                    |  |  |  |                |

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

24089/09280/DOCS/1582482.1